

Mechanism of Polymerization — Detailed step-by-step (3 pages)

Introduction

Polymerization is the chemical process where small molecules (monomers) join to form long-chain macromolecules (polymers). Mechanisms are broadly classified into **chain-growth (addition)** and **step-growth (condensation)** polymerizations. This 3-page guide explains common mechanisms step-by-step with reaction sequences, kinetics highlights, and practical control strategies.

1. Chain-growth (Addition) polymerization — Overview

Chain-growth involves rapid monomer addition at an active center. Typical subtypes: free-radical, ionic (anionic & cationic), coordination (Ziegler–Natta / metallocene), and ring-opening.

Free-radical polymerization — Step-by-step

A common example: styrene or methyl methacrylate (MMA). Key stages: **Initiation**, **Propagation**, **Termination** and **Chain transfer**. **Initiation**

(a) Initiator decomposition: e.g., $\text{AIBN} \rightarrow 2 \text{R}\cdot$ (thermolysis) or benzoyl peroxide $\rightarrow 2 \text{PhCOO}\cdot \rightarrow \text{Ph}\cdot + \text{CO}_2$.

(b) Radical addition to monomer: $\text{R}\cdot + \text{M} \rightarrow \text{M}\cdot$ (monomer radical; active center). **Propagation**

$\text{M}\cdot + \text{M} \rightarrow \text{M-M}\cdot \rightarrow \text{M-M-M}\cdot \dots$ (chain grows by successive additions). Each step adds one monomer unit to the chain radical. **Termination**

(i) Combination: $\text{P}\cdot + \text{P}\cdot \rightarrow \text{P-P}$ (chains couple).

(ii) Disproportionation: $\text{P-CH}_2\text{-CH}\cdot\text{-R} + \text{P-CH}\cdot\text{-CH}_2\text{-R} \rightarrow \text{saturated chain} + \text{unsaturated chain (alkene formation via hydrogen transfer)}$. **Chain transfer**

A radical transfers its activity to another species (S–H), terminating growth of one chain and starting another: $\text{P}\cdot + \text{S-H} \rightarrow \text{P-H} + \text{S}\cdot$. Chain-transfer agents (e.g., thiols, halocarbons) reduce molecular weight.

Kinetic highlights: Rate of polymerization $R_p \approx k_p [\text{M}][\text{R}\cdot]$, and steady-state radical concentration $[\text{R}\cdot] \approx \sqrt{(2 f k_d [\text{I}]) / k_t}$, giving $R_p \propto [\text{M}] \sqrt{[\text{I}]}$ under typical approximations (f = initiator efficiency). Control of molecular weight uses initiator concentration and chain-transfer agents.

2. Ionic polymerizations (Anionic & Cationic)

Anionic polymerization — Step-by-step (e.g., styrene, butadiene, lactones)

1. **Initiation:** strong nucleophile/base (e.g., n-BuLi) attacks monomer: $n\text{-BuLi} + \text{M} \rightarrow \text{Bu-M}^- \text{Li}^+$ (carbanion or alkoxide active center).
2. **Propagation:** $\text{Bu-M}^- + \text{M} \rightarrow \text{Bu-M-M}^- \dots$ (chain grows by nucleophilic attack).
3. **Termination:** Often intentionally absent — **living polymerization**. Termination occurs by protonation (H^+ source) or by impurities (water, oxygen).

Notes: Extremely fast and sensitive to impurities; counterion and solvent polarities affect microstructure and stereo-control.

Cationic polymerization — Step-by-step (e.g., isobutylene)

1. **Initiation:** strong acid or Lewis acid forms a carbocation: $\text{H}^+ + \text{M} \rightarrow \text{M-H}^+ \rightarrow \text{R}^+$ (carbocation).
2. **Propagation:** $\text{R}^+ + \text{M} \rightarrow \text{R-M}^+ \dots$ (carbocation migrates along chain).
3. **Termination:** Reaction with nucleophiles, counter-ion elimination, or chain transfer to monomer/solvent. Cationic systems give branching and rearrangements (hydride shift, alkyl shift) as side reactions.

Coordination polymerization (Ziegler–Natta, metallocene) — Stepwise picture

1. **Activation:** Transition-metal catalyst (e.g., $\text{TiCl}_4/\text{AlEt}_3$) forms active site.
2. **Monomer coordination:** ethylene coordinates to the metal center.
3. **Insertion (migratory insertion):** coordinated monomer inserts into the metal–carbon bond $\rightarrow$ chain growth by repeated insertions.
4. **Termination:** β -hydride elimination or chain transfer to aluminum/other ligands.

Outcome: High control of tacticity and molecular weight distribution; used industrially for polyethylene, polypropylene.

Ring-opening polymerization (ROP) — Example mechanisms

ROP converts cyclic monomers (lactones, lactides, epoxides) to linear polymers. Mechanisms can be anionic, cationic, or coordination-controlled.

Example (anionic ROP of ϵ -caprolactone):

1. **Initiation:** RO^- (alkoxide) attacks carbonyl carbon, opening the ring to give a new alkoxide chain end.
2. **Propagation:** Chain alkoxide attacks another monomer ring; the chain grows $(-\text{O}-\text{C}(=\text{O})-(\text{CH}_2)_5-)$.
3. **Termination:** Protonation yields OH-terminated polymer. Many ROPs can be living (control of MW by $[\text{M}]/[\text{initiator}]$).

3. Step-growth (Condensation) polymerization — Detailed steps

Step-growth polymerization proceeds by reactions between functional groups of monomers (diols, diacids, diamines, diisocyanates). High molecular weight forms only at very high conversions.

Example: Polyester formation (diacid + diol)

Mechanism (acid-catalyzed esterification):

1. Protonation of carbonyl oxygen of the carboxylic acid ($-\text{COOH}$) increases electrophilicity.
2. Nucleophilic attack by alcohol ($-\text{OH}$) on carbonyl carbon $\rightarrow$ tetrahedral (hemi-orthoester) intermediate.
3. Proton transfers enable elimination of water ($-\text{H}_2\text{O}$) from the intermediate.
4. Deprotonation yields the ester linkage ($-\text{CO}-\text{O}-$) and regenerates the acid catalyst.

For polyamides (e.g., nylon-6,6), the nucleophile is an amine and the condensation produces amide bonds ($-\text{CONH}-$) with elimination of water (or HCl if acid chloride route used).

Kinetics & Degree of Polymerization (Carothers)

- In step-growth, to achieve high number-average degree of polymerization X_n , conversion p must be close to 1. For stoichiometric $\text{A-A} + \text{B-B}$ systems:

$$X_n = 1 / (1 - p).$$

E.g., to get $X_n = 100$, $p = 0.99$ (99% conversion).

- Chain-growth systems form high-MW chains early; step-growth requires near-complete conversion for high MW.

Control strategies & Practical tips

- **Purity:** Remove inhibitors, water, oxygen for sensitive systems (ionic, radical). Use inert atmosphere (N_2/Ar).
- **Temperature & Solvent:** Affect kinetics, side reactions, and stereochemistry.
- **Initiator concentration:** For radical polymerization, increasing $[\text{I}]$ shortens chain length (more chains started).
- **Chain-transfer agents:** Lower MW and control PDI.
- **Stoichiometry:** In step-growth, small deviations from exact stoichiometry drastically reduce maximum achievable MW.
- **Catalysts:** Acid/base or metal catalysts accelerate specific mechanisms and improve selectivity.
- **Living polymerization methods:** (anionic, controlled radical methods like ATRP, RAFT, NMP) allow narrow PDI and end-group control.

Characterization & Important parameters

- Gel Permeation Chromatography (GPC/SEC) $\rightarrow M_n, M_w, \text{PDI } (M_w/M_n)$.
- NMR $\rightarrow$ end-group analysis, tacticity, monomer conversion.
- FTIR $\rightarrow$ functional-group changes (e.g., disappearance of $-\text{OH}$, appearance of ester C=O).
- DSC / TGA $\rightarrow$ thermal transitions (T_g, T_m) and decomposition data.

Summary (quick comparison)

- Chain-growth: active center adds monomers rapidly; high MW early; MW controlled by initiator & transfer reactions.
- Step-growth: bonds form between functional groups; high MW only at near-complete conversion; stoichiometry crucial.